# Introduction to Iwasawa Theory

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- Motivations and Backgrounds
- Basic Notations and Facts
- Iwasawa Main conjecture
- An easy application

Let  $K/\mathbb{Q}$  be a finite extension. Then we may consider the distance between  $O_K$  and PID. We define Cl(K) to be its ideal class group to measure its difference.

#### Definition

 $Cl(K) = \{ \text{Invertible fractional ideal} \} / \{ \text{ Principal fractional ideal} \} h_K = \# Cl(K)$ 

There is a theorem showing that  $h_K$  is finite in general. We omit the proof.

In fact, Kummer has developed serveral propositions that makes  $h_K$  be powerful.

Proposition (Relating to Fermat's Last Theorem)

If  $p \nmid h_{\mathbb{Q}(\mu_p)}$ , then  $x^p + y^p = z^n$  has no solutions in  $\mathbb{Z}^3$ .

## Proposition

 $p \mid h_{\mathbb{Q}(\mu_p)} \iff \exists \text{ positive even integer } r, \text{ such that } p \mid \zeta(1-r)$ 

We will briefly prove the latter proposition at the end of this talk.

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Henceforth, we assume p is an odd prime. And

$$K := \mathbb{Q}(\mu_p), K_n := \mathbb{Q}(\mu_{p^n}), K_\infty := \mathbb{Q}(\mu_{p^\infty}) = \bigcup_n \mathbb{Q}(\mu_{p^n}).$$

As we mentioned above, it's improtant to discuss the p part of Cl(K). In general, we should focus on the p-sylow subgroup of  $Cl(K_n)$ . Let  $Cl(K_n) = A_{K_n} \oplus A'_{K_n}$ , where  $A_{K_n}$  is its p-sylow subgroup. Suppose n>m, then for  $x \in \mathbb{Q}(\mu_{p^n})$ , we know

$$N(x) = \prod_{\sigma \in Gal(K_n/K_m)} \sigma x \in K_m.$$

Therefore, we have

$$N: Cl(K_n) \to Cl(K_m)$$
  
 $[I] \mapsto [N(I)].$ 

Similarly, we can restrict N to  $A_{K_n}$ . And these maps define an inverse limit.

Let  $X = \lim_{\leftarrow} A_{K_n}$ . Next we will talk about its structure.

Let  $G = \text{Gal}(K_{\infty}/Q)$ . Since for any  $\sigma \in G$ ,  $\sigma \mu_{p^n} = \mu_{p^n}^{s_n}$ , where  $s_n \in (\mathbb{Z}/p^n\mathbb{Z})^{\times}$ . And for n>m,  $s_m$  is defined by  $s_n$ . Therefore,

$$G \cong \lim_{\leftarrow} \operatorname{Gal}(K_n/\mathbb{Q}) \cong \lim_{\leftarrow} (\mathbb{Z}/p^n \mathbb{Z})^{\times}$$
$$\cong (\mathbb{Z}/p\mathbb{Z})^{\times} \times \lim_{\leftarrow} (\mathbb{Z}/p^{n-1}\mathbb{Z}) \cong (\mathbb{Z}/p\mathbb{Z})^{\times} \times \mathbb{Z}_p.$$

We write  $G = \Delta \times \Gamma$ , where  $\Gamma \cong \mathbb{Z}_p$ , the p adic integer.

Since  $A_{K_n}$  is finite p group, it is  $\mathbb{Z}_p$  module. And for  $\sigma \in G$ , it can act on  $Cl(K_n)$  by  $\sigma([I]) = [\sigma(I)]$ , so does  $A_{K_n}$ .

In Conclusion, X and  $A_{K_n}$  are  $\mathbb{Z}_p[[G]]$  modules.

Here is a lemma to help us decomposite X and  $A_{K_n}$ .

#### Lemma

If R is a commutative ring containing  $\langle \mu_n \rangle$ ,  $\Delta$  is an abelian group, with order=n. Let  $\widehat{\Delta} = Hom(\Delta, R)$ , then we have the decomposition:

$$M = \oplus_{\chi \in \widehat{\Delta}} e_{\chi} M.$$

#### Proof.

Let

$$e_{\chi} = \frac{1}{n} \sum_{\sigma \in \Delta} \chi(\sigma) \sigma^{-1}.$$

Note that for any  $m \in M$ ,  $m = \sum_{\chi} e_{\chi} m$ .

Since G,  $A_{K_n}$  are  $\mathbb{Z}_p[[G]] = \mathbb{Z}_p[\Delta][[\Gamma]] \mod$ , they are  $\mathbb{Z}_p[\Delta] \mod$ . Notice that  $\widehat{\Delta} = \{\omega^i\}_{0 \le i \le p-2}$ , Hence,

$$X = \bigoplus_{0 \le i \le p-2} X^{\omega^i}, A_{K_n} = \bigoplus_{0 \le i \le p-2} A_{K_n}^{\omega^i}.$$

We can prove that  $X^{\omega^i}$ ,  $A_{K_n}^{\omega^i}$  are indeed  $\Lambda = \mathbb{Z}_p[[\Gamma]]$  mod.

It is sufficient to prove that

$$\mathbb{Z}_p[\mathbb{Z}/p^n] \cong \mathbb{Z}_p[T]/((1+T)^{p^n} - 1)$$

and

$$\lim_{\leftarrow} \mathbb{Z}_p[T]/((1+T)^{p^n} - 1) \cong \mathbb{Z}_p[[T]].$$

For the former, only need to verify that the map  $\overline{1} \to T + 1$  is a bijective.

For the latter, we need to use the fact that  $\mathbb{Z}_p$  is p-adic complete.

An important theorem tells us that X is a finitely generated tortion  $\Lambda$  mod. So

$$X \sim \Lambda/f_1^{n_1} \oplus \cdots \oplus \Lambda/f_r^{n_r}.$$

We say  $M \sim N$ , meaning that there exists  $\Lambda \mod \phi : M \to N$ , such that  $\ker(\phi), coker(\phi)$  have finite length as  $\mathbb{Z}_p \mod$ .

#### Definition

$$Char(X) := \prod_{i=1}^{r} f_i^{n_i}$$

Note that this definition is independent of the choice of pseudo-isomorphism.

Before we introduce p adic L function, I should mention a proposition proved by Kummer, which states there exists a form of  $\zeta$  which has a good property in p adic number field.

### Proposition (Kummer)

If  $n_1, n_2$  are positive integers and  $n_1 \equiv n_2 \neq 0 \pmod{(p-1)}$ , then

$$(1-p^{n_1-1})\zeta(1-n_1) \equiv (1-p^{n_2-1})\zeta(1-n_2) \pmod{p}.$$

More generally, if  $p-1 \nmid n_1$  and  $n_1 \equiv n_2 \pmod{(p-1)p^{n-1}}$ , then

$$(1-p^{n_1-1})\zeta(1-n_1) \equiv (1-p^{n_2-1})\zeta(1-n_2) \ (modp^n).$$

We should define a function which has good property of continuous, or even holomorphic. Thanks to the proposition above, we can define p-adic L function as follows:

$$L_p(1 - n, \chi) := (1 - \chi \omega^{-n}(p))L(1 - n, \chi \omega^{-n})$$

Using Euler-product we can show that the right hand side is well defined. Since  $\mathbb{Z}_{\leq 0}$  is dense in  $\mathbb{Z}_p$ , if we assume  $L_p$  function is continuous, then we have defined a function in  $\mathbb{Z}_p$ .

Here we list the properties of **p** adic L function.

- Continuous
- P adic holomorphic
- Iwasawa power series

We say a function is p adic holomorphic, means that

$$\forall \alpha \in \mathbb{Z}_p, \exists a_n \in \overline{\mathbb{Q}_p}, L_p(s, \chi) = \sum_{n=0}^{\infty} a_n (s-\alpha)^n, \forall s \in \mathbb{Z}_p$$

In the next page we will introduce Iwasawa power series.

Let 
$$\mathcal{O}_{\chi} := \mathbb{Z}_p[\operatorname{Im} \chi].$$

Theorem (Iwasawa Theorem)

• 
$$\exists G_{\chi}(T) \in Frac(\mathcal{O}_{\chi}[[T]])$$
, such that,

$$G_{\chi}((1+p)^s - 1) = L_p(s,\chi).$$

# • If the conductor of $\chi \neq 1$ or $p^n$ $(n \geq 2)$ , then $G_{\chi}$ defined above is in $\mathcal{O}_{\chi}[[T]]$ .

For example,  $\chi = \omega^i$  satisfies the second condition.

Indeed, this main conjecture is a theorem now.

Theorem (Iwasawa Main Conjecture)

Let  $X, G_{\chi}$  as defined above, then the following two ideals in  $\mathbb{Z}_p[[T]] \cong \Lambda$  is equal:

$$(Char(X^{\omega^i})) = (G_{\chi^{1-i}}(T)).$$

This theorem connects an algebraic structure to an analytic object.

If we assume the following proposition is true, then we can prove Kummer's second proposition mentinoed in our motivation section.

#### Proposition (Dudeced from Iwasawa Theory)

Suppose 1 < i < p - 1, i is an odd integer. Then

$$#A_{\mathbb{Q}(\mu_p)}^{\omega^i} = #\mathbb{Z}_p/L(0,\omega^{-i}) = #\mathbb{Z}_p/L_p(0,\omega^{1-i}) = #\mathbb{Z}_p/G_{\omega^{1-i}}(0).$$

Corollary (Kummer, Herbrand)

$$A^{\omega^i}_{\mathbb{Q}(\mu_p)} \neq \varnothing \iff \exists r > 0, 1 - i \equiv r \pmod{p-1}, p|\zeta(1-r).$$

If we assume the proposition above is true, we can prove the corollary, using basic properties of p-adic L function.

# Proof of the Corollary

By the definition of  $L_p(s, \chi)$ , we can show that

$$\zeta(1-r) \equiv L_p(1-r,\omega^r) \pmod{p}.$$

On the other hand, notice that  $Im(\omega^i) \in \mathbb{Z}_p$ , and

$$G_{\omega^r}(T) = \sum_{n=0}^{\infty} a_n T^n$$
, where  $a_n \in \mathbb{Z}_p$ ;

$$L_p(s,\omega^r) = G_{\omega^r}((1+p)^s - 1) = \sum_{n=0}^{\infty} a_n((1+p)^s - 1)^n.$$

Since

$$(1+p)^{1-r} - 1 = \sum_{n=0}^{\infty} p^n {\binom{1-r}{n}} - 1 \equiv 0 \pmod{p},$$

therefore,  $\zeta(1-r) \equiv L_p(1-r,\omega^r) \equiv a_0 \equiv L_p(0,\omega^r) \pmod{p}$ . By using the proposition above, we are done.

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Number Theory II Iwasawa Theory and Automorphic Form
Lawerence C. Washington, Introduction to Cyclotomic Fields

#### Thank You!